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PD3701 A-93-173-3

NITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Inventors:

Michael Karin

Masahiko Hibi

Anning Lin

Filed

: July 18, 1994

Serial No : 08/ 276,860

Invention: ONCOPROTEIN PROTEIN KINASE

POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER RULE 32

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints:

Martin R. Horn, Reg. No. 18,189; Stuart Lubitz, Reg. No. 20,680; W. Robert Spensley, Reg. No. 17,433; Flichard H. Zaitlen, Reg. No. 27,248; John Land, Reg. No. 29,554; Alex Chartove, Reg. No. 31,942; Vincent J. Belusko, Reg. No. 30,820; Rod S. Berman, Reg. No. 31,483; David M. Simon, Reg. No. 32,758; Jay M. Finkelstein, Reg. No. 21,082; Thomas C. Reynolds, Reg. No. 32,488; Roger R. Wise, Reg. No. 31,204; John R. Wetherell, Jr., Reg. No. 31,678; Paul L. Gardner, Reg. No. 22,372; William K. Konrad, Reg. No. 28,868; Louis A. Mok, Reg. No. 22,585; John P. Scherlacher, Reg. No. 23,009; Gary D. Mahn, Reg. No. 34,867; Stacy L. Howells, Reg. No. 34,842; June M. Bostich, Reg. No. 31,238; Don F. Livorness, Reg. No. 32,040; Michael M. Gerardi, Reg. No. 33,698; Ted R. Rittmester, Reg. No. 32,933; Steven C. Sereboff, Reg. No. 17,035; Lisa A. Tumarkin, Reg. No. P38,347; Bruce W. Greenhaus, Reg. No. P37,339; Paul H. Kovetman, Reg. No. 35,228; William H. Wright, Reg. No. 36,312; and Hideo Kode, Reg. No. 27,729

OBYGGGIS . CHISGY

all of the firm of Spensley Horn Jubas & Lubitz, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be the exclusion of the inventors and their attorney(s) in accordance with the provisions of Rule 32 of the Patent Office Rules of Practice.

Please direct all telephone calls to John R. Wetherell, Jr., Ph.D. at 619-455-5100 and all correspondence relative to said application to the following address:

SPENSLEY HORN JUBAS & LUBITZ 1880 Century Park East Suite 500 Los Angeles, CA 90067

ASSIGNEE:

THE REGENTS OF THE UNIVERSITY

OF CALIFORNIA

Signature:

Typed Name:

inda S. Stavenson

Title:

Senior Prosecution Analyst

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Office of Technology Transfer 300 Lakeside Drive, 22nd Floor

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Date: 8/15/94

ATTORNEY'S DUCKET NO DECLARATION PD3701 LA-93-173-3 C) ORIGINAL
As a below named Inventor, I declare that the Information given hardn is true, that I hallows that I am the original, first and are inventors are named below at 1-4, of the invention entitled: ONCOPROTEIN PROTEIN KINASE D the attached specification of RX the ensellaction in application Serial No. 08/276 08/276,860 Mad 7/18/94 U as mounded on pad for which a patent is sought, and that my residence, nost office address and chitenship are as stated halow next to my name.

I acknowledge my duty to disclose information which is material to the examination of this application in accordance with Title 37. Code of Faderal thereby state that I have reviewed and understand the contents of the shove identified spacification, including the claims, as amanded by any entendment to above.

The state of the state of the state of the state of the shove identified application of the claims, as amanded by any entendment and have also identified against a state of the state of t PRIOR FOREIGH APPLICATION(S) COUNTRY APPLICATION NUMBER PRIORITY CLAIMED UNDER 38 U.S.C. 119 DATE OF FILING Month Day Year Thereby claim the benefit under litte 35, Unlied States Code, 1120 of any United States application(s) Estad below and, insolar as the subject matter of each of the claims of the application is not disclosed in the prior United Bisles application in the marmer provided by the first paragraph of Itile 35, United Sistes Code §112, I ecknowledge the duty to disclose material information as defined in Itile 37, Code of Federal Regulations, \$1,86(e) which occurred below the filling date of the prior application and the national or PCT international filling date of the application. U.S. Serial No. 08/220,602 March 25, 1994 (Application Sollal No.) Pending (Filling Onto) (Status) Direct Telephone Calls to: Lisa A. Haile, Ph.D. Reg. No. P-38,347

(Please Print) 1-00 LAST PAME FIRST WARE MIDDLE HARE Residence: City KARIN STATE OF COUNTRY MICHAEL San Diego Past Office Address: California 2565 Chalcedony, San Diego, CA 92122 CITIZENSHIP Name of 2-CLAST NAME Inventor HIBI Israel FIRST WATE MIDDLE WARE Residences CITY CASIALE OF COUNTRY MASAHIRO San Diego Post Office Address California 7528 Charmant Drive, No. 418, San Diego, CA 92122 CITTTERERTE Name of 9-6 CLAST MANE Inventor LIN Japan FIRST NAME MIDDLE MARE tesidences City ANNING trate or country California Post Office Address CA 8655 Via Mallorca Drive, Apt. 93, La Jolla, CA 92093 Cittensmir Name of Inventor BASE MARE China FIRST MARE MIDDLE MAME Residences City STATE OF COURTRY Post Office Address CITTZENSHIF

I further declare that all statements made haven of my own knowledge are true and that all statements made an information an investment, or forth, under Section 1001 of 1886 and with the knowledge that will be the epigengial and the section 1001 of 1886 as made application or any patent issuing theseon.

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Applicant or Patentee:	Karin, et.al.	4 0 € 50
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Filed or Issued:	ΛΒ/276, 860 July 18, 1994	U. C. Case No. 93-173-3
For:	ONCOPROTEIN PROTEIN KINA	SE
V	ERIFIED STATEMENT (DECLA (37 CFR § I.9(f) and § 1.	RATION) CLAIMING SMALL ENTITY STATUS 27 (c)} - SMALL BUSINESS CONCERN
I hereby declare that I	am	
() the owner (X) an official	of the small business concern in of the small business concern e	fentified below: npowered to act on behalf of the concern identified below:
NAME OF CONCERN	i:Signal Pharmaceu	ticals, Inc.
ADDRESS OF CONC	ERN:5555 Oberlin Dri	ve, Suite 100
	San Diego, CA 92	121
United States Code, in Epersons. For purposes fiprecious fiscal year of pay periods of the fiscal for has the power to co	that the number of employees of s of this statement, (1) the nun the concern of the persons emp il year, (2) concerns are affiliates ntrol the other, or a third party, or	s concern qualifies as a small business concern as defined in 37 CF poses of paying reduced fees under section 41(a) and (b) of Title 30 of the concern, including those of its affiliates, does not exceed 50 observed of employees of the business concern is the average over the loyed on a full-time, part-time, or temporary basis during each of the of each other when either, directly or indirectly, one concern control or parties controls or has power to control both.
hereby declare that rig bove with regard to the	hts under contract or law have be e invention, entitledON	een conveyed to and remain with the small business concern identifie
Michael Karin, M	lasahiko Hibi and Anning	I.In by inventor(s
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qualify as an small busi concern under 37 CFR	ness concern under 37 CFR § 1.	exclusive, each individual, concern, or organization having rights to tion are held by any person, other than the inventor, who could no for by any concern which would not qualify as a small business on under 37 CFR § 1.9(e). *NOTE: Separate verified statements are tion having rights to the invention averring to their status as small
NAME The Regents	of the University of California	
ADDRESS 300 Lakes	side Drive, 22nd Floor, Oakland,	California 94612-3550
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and the like are punishal	ble by fine of imprisonment or b	owledge are true and that all statements made on information and ments were made with the knowledge that willful false statements oth, under 18 USC § 1001, and may jeopardize the validity of the lich this verified statement is directed.
NAME OF PERSON SIC		
TITLE IN ORGANIZATI	CICLUIUS THE RES	ATÉ DEVILOPUENT
ADDRESS OF PERSON	SIGNING:5555 <i>O</i> B	ELIN DR

DATE _ August 22, 1994

SIGNATURE